

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

1 Inventor(s): John Deryk WATERS Confirmation No.: 8237
2 Application No.: 10/697,011 Examiner: Daniel A. Hess
3 Filing Date: 10/31/2003 Group Art Unit: 2876
4 Title: MEMORY TAG AND A READER

5 Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97 (c) together with either a:
 Statement under 37 CFR 1.97(e), or
 a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97 (d) together with a:
 Statement under 37 CFR 1.97(e)(1) or (2), and
 a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: _____
OR

I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____.
Number of pages: _____

Typed Name: _____

Signature: _____

Respectfully submitted,

John Deryk WATERS

By

William T. Ellis

(40,358)

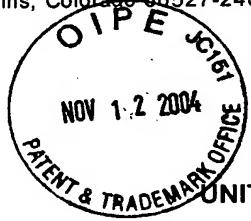
Attorney/Agent for Applicant(s)
Reg. No. 26,874

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PATENT APPLICATION
ATTORNEY DOCKET NO. 300204382-2



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STATEMENT UNDER 37 CFR 1.97(e)

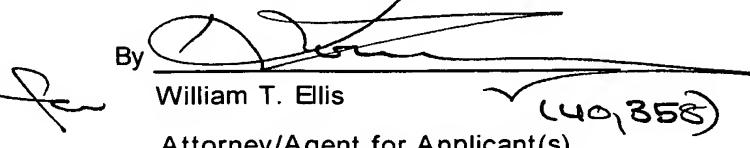
Sir:

The undersigned hereby certifies that either:

(X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

() No item of information contained in the Information Disclosure Statement
-- was cited in a communication from a foreign patent office in a counterpart foreign application, and
-- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,
John Deryk WATERS

By 

William T. Ellis

Attorney/Agent for Applicant(s)
Reg. No. 26,874

(40,358)

Date:

Telephone No.: (202) 672-5300

FORM PTO-1449

NOV 1 2 2004

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 300204382-2	APPLICATION NO. 10/697,011	CONFIRMATION NO. 8237
APPLICANT John Deryk Waters		
FILING DATE 10/31/2003	GROUP 2876	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A	6,476,708	11/05/2002	Johnson	
1B				
1C				
1D				
1E				
1F				
1G				
1H				
1I				
1J				
1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L	WO 99/30401	06/17/1999		ATMEL Corporation		
1M	DE 40 02 801	04/11/1991		Texas Instruments		
1N						
1O						
1P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	"Transponder: Arten und Reichweiten", http://web.archive.org/web/20021115010650/Http://www.nur-sicherheit.de/themen/zutrittskontrolle/identi.htm , as of November 15, 2002
1R	"Verfolgungsjagd durchs Autowerk", IEE Automatisierung + Datentechnik, March 2002.
1S	"Keyless entry", http://www.all-electronics.de/news4d4b4303e11.print.html , 09.01.2002.

EXAMINER

DATE CONSIDERED

FORM PTO-1449

NOV 12 2004
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1L					
1M					
1N					
1O					
1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	English Translation of a German Office Action dated August 27, 2004 issued in corresponding German Application No. 103 53 373.7-53, (6 pp.), Applicant: Hewlett-Packard Co.
1R	
1S	

EXAMINER

DATE CONSIDERED